

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: 55103751
Account Name: GREGG L WHITEHEAD
Recipient's TIN: ***-**-XXXX

Account Executive No:

ORIGINAL: 12/31/2020

2020 INVESTMENT DETAILS

DETAILS OF 2020 INVESTMENT ACTIVITY							
Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
09/30/20	ADICET BIO INC COMMON STOCK	007002108	CASH IN LIEU		-	\$10.70	
01/22/20	ADVANCED MICRO DEVICES INC COM	007903107	SELL	2.000	\$51.35	\$102.69	
03/04/20	***APHRIA INC COM	03765K104	SELL	2.000	\$3.56	\$7.12	
03/04/20	***APHRIA INC COM	03765K104	SELL	28.000	\$3.56	\$99.80	
01/22/20	AVALARA INC COMMON STOCK	05338G106	PURCHASE	1.000	\$86.45	\$86.45	
12/15/20	AVALARA INC COMMON STOCK	05338G106	SELL	1.000	\$174.24	\$174.23	
03/04/20	***CANOPY GROWTH CORPORATION COMMON SHA	138035100	SELL	4.000	\$18.21	\$72.83	
03/27/20	COTY INC COM CL A	222070203	PURCHASE	6.000	\$6.35	\$38.10	
X 09/23/20	***METAMATERIAL INC COM	59134F101	PURCHASE	5,950.000	\$0.23	\$1,348.68	
07/01/20	REATA PHARMACEUTICALS INC CL A COM	75615P103	SELL	2.000	\$153.93	\$307.85	
01/16/20	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	247.000	\$0.65	\$159.77	
03/04/20	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	300.000	\$0.66	\$198.00	
08/25/20	TORCHLIGHT ENERGY RESOURCES INC	89102U103	PURCHASE	1,585.000	\$0.32	\$499.28	
03/26/20	TUPPERWARE BRANDS CORPORATION	899896104	PURCHASE	54.000	\$1.90	\$102.82	
09/22/20	TUPPERWARE BRANDS CORPORATION	899896104	SELL	54.000	\$26.75	\$1,444.45	
03/27/20	***BLOCKCHAIN HLDGS LTD COMMON SHARES	G11770107	PURCHASE	250.000	\$0.39	\$104.85	
09/24/20	***TRACESAFE INC COMMON SHARES	G8998A107	PURCHASE	101.000	\$0.48	\$55.24	
DETAILS OF 2020 OPTIONS ACTIVITY							
Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
12/15/20	CALL BMY 03/19/21 65 BRISTOL MYER	8GTTTS9	PURCHASE	1.000	\$1.66	\$166.66	
END OF 2020 DETAILS							

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

MORGAN STANLEY DOMESTIC HOLDINGS, INC.
E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: 55103870
Account Name: GREGG L WHITEHEAD
Recipient's TIN: ***-**-****
Account Executive No: ET1
ORIGINAL: 12/31/2021

2021 INVESTMENT DETAILS

DETAILS OF 2021 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/24/21	***ALPHA LITHIUM CORP NEW COMMON	02075X103	PURCHASE	100.000	\$0.48	\$52.95	
08/20/21	AMERICAN EAGLE OUTFITTERS INC	02553E106	PURCHASE	100.000	\$35.00	\$3,500.00	
08/20/21	AMERICAN EAGLE OUTFITTERS INC	02553E106	SELL	100.000	\$34.00	\$3,399.97	
08/27/21	AMERICAN EXPRESS COMPANY	025816109	PURCHASE	100.000	\$170.00	\$17,000.00	
08/30/21	AMERICAN EXPRESS COMPANY	025816109	SELL	24.000	\$164.85	\$3,956.37	
08/31/21	AMERICAN EXPRESS COMPANY	025816109	SELL	16.000	\$164.38	\$2,630.06	
09/07/21	AMERICAN EXPRESS COMPANY	025816109	SELL	60.000	\$160.20	\$9,611.94	
01/20/21	***AMERICAN LITHIUM CORP COM NEW	027259209	PURCHASE	100.000	\$1.82	\$188.95	
08/06/21	BED BATH & BEYOND INC	075896100	PURCHASE	100.000	\$28.00	\$2,800.00	
08/10/21	BED BATH & BEYOND INC	075896100	SELL	100.000	\$28.45	\$2,844.97	
04/01/21	BLACKLINE INC COM	09239B109	SELL	9.000	\$111.00	\$998.99	
10/29/21	***CARNIVAL CORP COMMON PAIRED STOCK	143658300	PURCHASE	100.000	\$25.00	\$2,500.00	
10/29/21	***CARNIVAL CORP COMMON PAIRED STOCK	143658300	SELL	100.000	\$24.00	\$2,399.97	
06/18/21	***CEMEX S A B DE C V SPONSOR ADR REP 1	151290889	SELL	9.000	\$7.58	\$68.22	
06/18/21	***CEMEX S A B DE C V SPONSOR ADR REP 1	151290889	SELL	35.000	\$7.56	\$264.42	
09/10/21	CENTENE CORP DEL	15135B101	PURCHASE	100.000	\$64.00	\$6,400.00	
09/10/21	CENTENE CORP DEL	15135B101	SELL	100.000	\$63.00	\$6,299.95	
07/21/21	***CORE ONE LABS INC COM NO PAR	21872J307	PURCHASE	100.000	\$4.21	\$426.00	
10/01/21	EBAY INC	278642103	PURCHASE	100.000	\$73.00	\$7,300.00	
10/01/21	EBAY INC	278642103	SELL	100.000	\$72.00	\$7,199.95	
08/20/21	GAP INC	364760108	PURCHASE	100.000	\$30.50	\$3,050.00	
08/20/21	GAP INC	364760108	SELL	100.000	\$29.50	\$2,949.97	
06/18/21	***HON HAI PRECISION INDUSTRIES CO LTD	438090805	SELL	20.000	\$8.03	\$155.54	
11/12/21	ISHARES MSCI EMERGING MARKETS ETF	464287234	PURCHASE	100.000	\$53.00	\$5,300.00	
11/15/21	ISHARES MSCI EMERGING MARKETS ETF	464287234	SELL	100.000	\$52.14	\$5,213.96	
09/17/21	JOHNSON & JOHNSON	478160104	PURCHASE	100.000	\$175.00	\$17,500.00	
09/17/21	JOHNSON & JOHNSON	478160104	SELL	100.000	\$172.50	\$17,249.90	
07/12/21	META MATLS INC COMMON STOCK	59134N104	CASH IN LIEU		-	\$3.29	
08/20/21	META MATLS INC COMMON STOCK	59134N104	PURCHASE	100.000	\$2.89	\$289.00	
04/07/21	***MURATA MANUFACTURING CO LTD UNSPONSO	626425102	PURCHASE	9.000	\$20.91	\$195.10	
10/01/21	NUCOR CORP	670346105	PURCHASE	100.000	\$113.00	\$11,300.00	
10/01/21	NUCOR CORP	670346105	SELL	100.000	\$112.00	\$11,199.93	
12/03/21	NUCOR CORP	670346105	PURCHASE	100.000	\$114.00	\$11,400.00	
12/03/21	NUCOR CORP	670346105	SELL	100.000	\$113.00	\$11,299.93	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

EXTRA

INVESTMENT STATEMENT | For the Period November 1- December 31, 2023

from Morgan Stanley

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Account Detail	Self-Directed Brokerage Account 511-534947-205	GREGG L WHITEHEAD
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Investment Objectives (in order of priority): Capital Appreciation
 Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

Brokerage Account

OLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for holdings that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield is an estimate for informational purposes only. It reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period of payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

For additional information related to Unrealized and Realized Gain/(Loss) and tax lot details, including cost basis, please visit www.etrade.com. The information presented on the statement should not be used for tax purposes.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions. Under the Bank Deposit Program, free credit balances held in an account(s) at Morgan Stanley Smith Barney LLC are automatically deposited into an interest-bearing deposit account(s), at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, each a national bank, FDIC member and an affiliate of Morgan Stanley. For more information regarding the Bank Deposit Program, go to www.etrade.com/bdpdisclosure. Interest from required Pattern Day Trader minimum equity amounts retained in Cash Balance Program.

Position Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A.	\$9.76	—	—	0.010

	Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFs	1.51%	\$9.76	—

STOCKS**COMMON STOCKS**

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
IASYS INC (FEMY) Asset Class: Equities	25,000	\$0.975	\$57.50	\$24.38	\$(33.12)	—	—
3M MATERIALS INC (MMAT) Asset Class: Equities	8,187,000	0.066	1,708.83	540.34	(1,168.49)	—	—

INVESTMENT STATEMENT | For the Period December 1-31, 2023

from Morgan Stanley

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Account Detail	Self-Directed Brokerage Account 511-553457-205	GREGG L. WHITEHEAD
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Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
* META MATERIALS INC (MMAT) et Class: Equities	3,686.000	0.066	1,614.10	243.28	(1,370.82)	—	—
RATA MANUFACTURING CO LTD (MRAAY) et Class: Equities	13.000	10.615	187.87	138.00	(49.87)	1.74	1.26
FTCARBON INC (SHIFF) et Class: Equities	99.000	0.000	54.38	0.00	0.00	—	—
DATA5YS LTD SHS (SSYS) et Class: Equities	2.000	14.280	49.13	28.56	(20.57)	—	—
TESLA INC (TSLA) Rating: Morgan Stanley: 1, Morningstar: 2; Asset Class: Equities	1.000	248.480	242.91	248.48	5.57	—	—
T HOLDINGS INC (TLLTF) et Class: Equities	100.000	0.024	215.66	2.35	(213.31)	—	—
PERWARE BRANDS CORP (TUP) et Class: Equities	50.000	2.000	217.35	100.00	(117.35)	—	—
ILTY GROUPE TECHNOLOGIES COR (VSBGF) et Class: Equities	50.000	0.079	291.95	3.97	(287.98)	—	—
COMMON STOCKS			\$4,897.08	\$1,110.74	\$(3,619.01)	\$2.12	0.19%

*PTIONS (Contract Prices are presented to only the third decimal (which may display as "\$0.000"), while calculation of Market Value uses an extended price.)

Security Description	Number of Contracts	Contract Price	Total Cost	Market Value	Unrealized Gain/(Loss)
1 META MATERIALS INC AT 1.500 EXPIRES 01/16/2026 (AT 260116C00001500) et Class: Equities	1.000	\$0.041	\$12.51	\$4.06	\$(8.45)

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
COMMON STOCKS	92.60%	\$4,909.59	\$1,114.80	\$(3,627.46)	\$2.12	0.19%

Listed options that have a cost basis without a corresponding market value, an unrealized gain or loss is included for informational purposes. Where market value information is not available, for purposes of calculating the unrealized gain or loss, we assume that market value is \$0. In such cases, the unrealized gain or loss may not provide an accurate reflection of the true unrealized gain or loss. For additional information regarding Gain/(Loss) and Pricing, refer to the Expanded Disclosures.

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STATEMENT | For the Period January 1-31, 2024

from Morgan Stanley

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Account Detail	Self-Directed Brokerage Account	GREGG L WHITEHEAD
	511-534947-205	

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
* TA MATERIALS INC NEW (MMAT)	82.000	3.820	1,708.83	313.24	(1,395.58)	—	—
Asset Class: Equities							
JAWULF INC (WULF)	29.000	1.670	98.60	48.43	(50.17)	—	—
Asset Class: Equities							
	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
JOCKS	99.65%		\$1,874.33	\$389.94	\$(1,484.38)	—	—

(CHANGE-TRADED & CLOSED-END FUNDS

Estimated Annual Income for Exchange Traded Funds, is based upon historical distributions over the preceding 12-month period, while Estimated Annual Income for Closed End Funds may be based upon either the most recent dividend or (b) sum of prior 12 months (depending upon whether there is an announced fixed rate). Current Yield is calculated by dividing the total Estimated Annual Income by the current Market Value of the position, and it is for informational purposes only. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside advisor and is not verified by us. Depending upon market conditions, Current Yield may differ materially from published yields. Investors should refer to the Fund website for the most recent yield information.

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
FAISSANCE IPO ETF (IPO)	Purchases	—	\$34.049	—	—	—	—
Reinvestments	0.015		0.42	0.51	0.09	—	—
	Total	0.015	0.42	0.51	0.09	—	—
Dividend Payable 03/2024; Asset Class: Equities							
	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CHANGE-TRADED & CLOSED-END FUNDS	0.13%		\$0.42	\$0.51	\$0.09	—	—
	Percentage of Holdings		Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
TOTAL VALUE	100.00%		\$1,874.75	\$391.29	\$(1,484.29)	—	—

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.

Self-Directed Brokerage Account
511-553457-205

GREGG L. WHITEHEAD

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Estimated Annual Income for Exchange Traded Funds, is based upon historical distributions over the preceding 12-month period, while Estimated Annual Income for Closed End Funds may be based upon either the most recent dividend or (b) sum of prior 12 months (depending upon whether there is an announced fixed rate). Current Yield is calculated by dividing the total Estimated Annual Income by the current Net Asset Value of the position, and it is for informational purposes only. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside source and is not verified by us. Depending upon market conditions, Current Yield may differ materially from published yields. Investors should refer to the Fund website for the most recent yield information.

at Dividend Payable 03/2024; Asset Class: Alt

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CLIENT STATEMENT | For the Period September 1-30, 2024

from Morgan Stanley

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Account Detail	Self-Directed Brokerage Account 511-553457-205	GREGG L WHITEHEAD
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ROCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley) and Morningstar, Inc.'s equity research ratings are shown for certain securities. These ratings represent the opinions of the research provider and are not representations or guarantees of performance. The applicable research report contains more information regarding the analyst's opinions, analysis, and rating, and you should read the entire research report and infer its contents. For ease of comparison, Morgan Stanley and Morningstar, Inc.'s equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Refer to your June or December statement for a summary guide describing the ratings. We do not take responsibility for, nor guarantee the accuracy, completeness, or timeliness of research prepared for Morningstar, Inc.

Security Description	Quantity	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ATA ENERGY CORPORATION (BTAEF) Asset Class: Equities	3.000	\$0.000	\$0.00	\$0.00	\$0.00	---	---
VISION DIAGNOSTICS CORP (DECM) Asset Class: Equities	1,000.000	0.000	112.95	0.00	0.00	---	---
ATA MATERIALS INC NEW (MMATQ) Asset Class: Equities	6.000	0.370	440.21	2.22	(438.00)	---	---
FTCARBON INC (SHIFF) Asset Class: Equities	99.000	N/A	54.38	N/A	N/A	---	---
TESLA INC (TSLA) Rating: Morgan Stanley: 1, Morningstar: 3; Asset Class: Equities	1.000	261.630	242.91	261.63	18.72	---	---

	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ROCKS	99.80%	\$850.45	\$263.85	\$(419.28)	---	---
	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
TOTAL VALUE	100.00%	\$850.45	\$264.37	\$(419.28)	---	---

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.